

Padma Bank Securities Ltd.

DSE TREC # 247, BSEC Reg. No. 3.1/DSE-247/2015/539

CUSTOMER ACCOUNT INFORMATION

Date :

Signed Photograph
of First Applicant
(For Company
CEO/MD's
Photograph)

Signed Photograph
Joint Applicants

Please Complete all details in "CAPITAL" Letters and Put a Tick (✓) in appropriate box.
All Communication shall be made only to the First Account Holder's correspondents Address.

Client Code:

BO ID

Account Type : Individual ☐ Joint ☐ Corporate ☐ Account Category : Local ☐ NRB ☐ Foreign ☐ Join Venture ☐
Account Status : Cash ☐ Margin ☐ Special Remarks, if any :

PARTICULARS OF FIRST APPLICANT INFORMATION :

Name of the Account Holder :
Father's/Husband's Name :
Mother's Name :
Date of Birth : Sex : Male ☐ Female ☐ Occupation :
Tel : Mobile : Fax : E-mail :
Nationality : National ID :
Passport No. : Issue Place : Issue Date : Expiry Date :
Present Address :
Permanent Address :

IN CASE OF COMPANY :

Name of CEO/MD :
Registration No : Date of Incorporation Country of Origin :
TIN Number : Tel :
Mobile : Fax : E-mail :
Contact Address :

PARTICULARS OF JOINT APPLICANT INFORMATION :

Name of the Account Holder :
Father's/Husband's Name :
Mother's Name :
Date of Birth : Sex : Male ☐ Female ☐ Occupation :
Tel : Mobile : Fax : E-mail :
Nationality : National ID :
Passport No. : Issue Place : Issue Date : Expiry Date :
Present Address :
Permanent Address :

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BANK INFORMATION :

Bank Name : Branch Name : District Name :

Routing No. : Account No. :

Bank Identifier Code (BIC) : SWIFT Code : International Bank A/C No. (IBAN) :

Electronic Dividend Credit : Yes ☐ No ☐ Tax Exemption if any : Yes ☐ No ☐ TIN / Tax ID :

| | | | | |
|---|-----|--------------------------|----|--------------------------|
| whether the Customer(s) is/are an Officer or Director of any Stock Exchange/Listed Company? | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
|---|-----|--------------------------|----|--------------------------|

If Yes, Name of the Stock Exchange/Listed Company :.....

Relationship :.....

Address :.....

In Case of Joint Account, the Account will be operated : 1st Applicant ☐ 2nd Applicant ☐ Jointly ☐ Any one can Operate ☐

PARTICULARS OF INTRODUCER :

Name of the Introducer :

Father's/Husband's Name :

Mother's Name :

Sex : Male ☐ Female ☐ Nationality :

Mobile : National ID : E-mail :

Present Address :

Internal Code No. :

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 Introducer's BO ID :

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I certify that I have known for the last years

and confirm his/her/their signature, occupation, address and other particulars elsewhere in the application.

Signature & Date of the
Account Holder (1st Applicant)

Signature & Date of the
Joint Account Holder (2nd Applicant)

Signature & Date of the
3rd Signatory (Artificial Body)

Signature & Date of the
Person Introducing the Customer

OFFICE USE ONLY :

Checked By

Recommended By

Approved By

Padma Bank Securities Ltd.

DSE TREC # 247, BSEC Reg. No. 3.1/DSE-247/2015/539

POWER OF ATTORNEY FORM

Please paste recent
passport size
Photograph
duly attested by
Account Holder's

Chief Executive Officer

Padma Bank Securities Ltd.

Sir

I / We (1) (2)

Son of / Wife of (1) (2)

of Bearing Internal.

Code No :

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 and BO Account :

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hereby authorize Mr. / Ms. S/o

of

whose specimen signature is given below (hereinafter referred to as the "**Account Operator**") to exclusively deal, buy, sell, transfer shares, debentures, stocks, bonds and others securities on behalf of me / us with regard to the "Internal Code /BO Account" opened and maintained in my/our name with **Padma Bank Securities Ltd.** (hereinafter referred to as "**Padma Bank Securities Ltd.**")

I/We hereby authorize and instruct the "**Padma Bank Securities Ltd.**" to deal, buy, sell, transfer share(s), stock(s), debenture(s), debenture stock(s), bonus and others securities on verbal and /or written instructions of the "Account Operator"

I/We also authorize the "Account Operator" to place buy / sell orders, receive confirmation notes, receive and deliver cheque(s) / cash and / or share(s), others securities on my / our behalf with regard to my "Internal Code /BO Account".

I/We hereby declare that I / we am / are fully aware of all consequence of transaction that may be carried out on my / our behalf by the "Account Operator" are and shall take responsibility for all such transactions as that of my / our own. I / We shall fulfill and abide by all rules and regulations described in the "Account Opening Form" duly completed and signed by me / us, with regard to all transactions carried out by the "Account Operator" without any demur protest.

I/We hereby undertake and ensure to make good and compensate for any loss or damage incurred or sustained by the "Broker" for any reason whatever as a result of any transaction carried out by the "Account Operator"

I/We also confirm that the "Account Operator" put his signature in front of me / us.

I/We request you to treat and consider this power of attorney until you receive written direction from me / us to contrary.

This authorization will be valid from

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Signature of Account Operator (Authorized Person)

Date :

Attested by :

Account Holder (1st Applicant)

Date :

Joint Account Holder (2nd Applicant)

Date :

Witnesses. 1. Signature : 2. Signature :

Name : Name :

Address : Address :

.....

Padma Bank Securities Ltd.

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NOMINEE FROM

Chief Executive Officer
Padma Bank Securities Ltd.

Please paste
recent passport
size Photograph
duly attested by
Account Holder's

Please paste
recent passport
size Photograph
duly attested by
Account Holder's

Sir

I / We (1) (2)

Son of / Wife of (1) (2)

of Bearing Internal.

Code No :

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and BO Account :

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have given my / our authority to :

1) Relationship : Percentage (%) :

2) Relationship : Percentage (%) :

(hereinafter called "The Nominee(s)" of

a) That in the event of my / our death or incapability, the nominee(s) shall receive / draw the amount and shares after adjustment of all liabilities (if any held by you in my / our account)

b) That in the event, the nominee(s) who is / are so authorised remain(s) a minor at the time of my / our death or incapability.....

is / are authorised to receive / draw the amount and shares held by you in my / our account.

c) I / We hereby declare that everything done by you in presence of this authority shall be binding upon me / us until receive notice from me / us in writing to the contrary. Furthermore, I / we hereby declare that everything done by you in presence of this authority shall be binding on my / our heirs, executors and administrators and all other persons claiming through under me / us.

Signature of the Nominee(s)

1. Signature :

2. Signature :

Full Name :

Full Name :

Address :

Address :

Signature of the Executant(s)

1. Signature :

2. Signature :

Full Name :

Full Name :

Address :

Address :

Signature of the Witnesses :

1. Signature :

2. Signature :

Full Name :

Full Name :

Address :

Address :

Padma Bank Securities Ltd.

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TERMS AND CONDITIONS FOR OPENING BROKERAGE ACCOUNT

DECLARATION/AGREEMENT

I/We undersigned whose information is given in the Brokerage application Form hereby request to be registered as a client of **Padma Bank Securities Ltd.** and intend to open a brokerage account in my/our/company name. I/We further agree and confirm that the account hereby requested to be opened by me/us shall be held and be governed by the terms and conditions of this agreement as provided hereinafter and as may be modified from time to time by **Padma Bank Securities Ltd.**

TERMS AND CONDITIONS

Instruction: A written instruction shall be given to **Padma Bank Securities Ltd.** from time to time to purchase and/or sell securities (which shall mean and include stocks, debentures, mutual fund and private placement or any other similar financial instrument as may be made available from time to time) on behalf of the account holder. On receipt of such instructions along with cash deposit or delivery of shares, **Padma Bank Securities Ltd.** shall, so far as **Padma Bank Securities Ltd.** considers it reasonably practicable, purchase and/or sell securities in accordance with those instructions, provided always that (i) any such dealings do not contravene any applicable laws or regulations (ii) **Padma Bank Securities Ltd.** shall have an absolute discretion to accept or reject purchase/sell instructions and (iii) accounts holder's instruction shall include the following details.

*The name of the securities

*Quantity

*Price with notification of limit or discretion

*The duration of the order

*The nature of the lot (i.e. scrip size) for sale or the desired format for purchase order (see note)

*The order should specify completion format of:

o All or partial fill with minimum trade value or number or shares

o Mode of execution i.e. DVP (Delivery Versus Payment)/ NON DVP (Non Delivery Versus Payment)

(Note: The number of shares constituting a "market lot" may change. Sellers must include the exact format of their holding. This has a direct impact on market pricing given the predominantly retail nature of the market. Traders may fail where non-agreed lot sizes are delivered.)

Joint Account: If this is a Joint Account, unless the account holder's notify **Padma Bank Securities Ltd.** otherwise and provide such documentation, as **Padma Bank Securities Ltd.** may require, the brokerage account(s) shall be held by the account holder's jointly with rights or survivorship (payable to either or the survivor). Under these terms and conditions, each account holder irrevocably appoints the other as attorney in fact to take all actions on his or her behalf and to represent him or her in all respects in connection with this agreement. **Padma Bank Securities Ltd.** shall be fully protected in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to **Padma Bank Securities Ltd.** pursuant to this agreement, whether incurred by either or both of the account holder's

Jumbo and Market Lots: When an account holder wishes to sell stock, he/she must inform **Padma Bank Securities Ltd.** as to the format of the lot being sold, for example 5,000 shares in 10's and 2,000 in 5's (Jumbo lots are difficult to split with the company and so face a considerable discount to market price).

Risk: The account holder understand(s) that the stock market is a rapidly changing market and that there is an inherent risk in incurring loss in share dealings.

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Limit Orders: While **Padma Bank Securities Ltd.** will endeavor to purchase or sell the securities within the limit prices that may be notified by the account holders. **Padma Bank Securities Ltd.** does not guarantee or assure that the transaction will be materialized within such notified limits. FBL Securities Ltd. will always endeavor to obtain the best price.

Dhaka Stock Exchange Rules: All transactions duly concluded through and recognized by the Dhaka Stock Exchange (hereafter DSE) is governed by the respective rules of DSE relating to trading and settlement in particular and will be binding on both the parties concerned.

Payment and Disclosure: **Padma Bank Securities Ltd.** shall not be obliged to make any payment on behalf of the account holder's. **Padma Bank Securities Ltd.** may disclose information regarding the account holder or his/her dealings in relation to this agreement to any department of the government or public body upon request. whether or not such request is in fact legally enforceable and **Padma Bank Securities Ltd.** will not be liable in any way to account holder for so doing.

Cancellation Provisions: **Padma Bank Securities Ltd.** is authorized, in its discretion, should the undersigned die or should **Padma Bank Securities Ltd.** for any reason whatsoever deems it necessary for its protection, without notice to cancel any outstanding orders in order to close out the accounts of the account holder, in whole or in part, or to close out any commitment made on behalf of the account holder.

Indemnity: In the event of a default, omission or act committed by **Padma Bank Securities Ltd.** as a broker/TREC Holder of the DSE, the account holder shall be indemnified if and only as provided by the Rules and Regulation of the DSE

Confirmation and Settlement: **Padma Bank Securities Ltd.** shall use its best endeavors to provide the account holder with (a) written confirmation of each transaction it has effected on instruction; and (b) contract notes (in such form as **Padma Bank Securities Ltd.** shall determine) setting forth (i) details of the trade date, value date, settlement date, quantity, price, commission rate and DSE "Howla" number provided also that the account holder does hereby agree and undertake to confirm in writing beforehand of all instructions.

Fees and Expenses: The account holder will pay a brokerage commission of..... and, any other related expenses and charges that may from time to time be applicable. Every transaction concluded through and recognized by the DSE is subject to transaction levies or other fees imposed by the DSE. The account holder understands that the rate of commission may be changed from time to time

Set-off: **Padma Bank Securities Ltd.** shall be entitled to in respect of all commission, cost, charges or expense, set-off from any monies time to time held by **Padma Bank Securities Ltd.** for the account holder and if such monies are insufficient for the purpose, to sell any Securities held by **Padma Bank Securities Ltd.** or any of its agents on behalf of the account holder.

Termination: Either party may terminate this agreement with or without cause any time provided prior written notice of..... Days is given by the other party. Termination shall not absolve the parties from completing accounts and adjusting any outstanding dues.

Assignment: The benefits and burdens of this agreement is capable of assignment by both the account holder(s) and **Padma Bank Securities Ltd.** without the consent of the other but the notice of assignment must be given to the other in writing

Force Majeure: **Padma Bank Securities Ltd.** shall not be liable for any loss damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike, natural disaster or any other event or force majeure or circumstances beyond its control.

Forged Shares: **Padma Bank Securities Ltd.** shall not be liable or responsible for any shares that are found to be forged. However **Padma Bank Securities Ltd.** will make every attempt to replace the forged shares from the seller.

Law: The terms and conditions contained herein shall be governed by and be construed in accordance with the law of Bangladesh and shall be subject to the exclusive jurisdiction of the Courts of Bangladesh.

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Notices:

- a) All notices, instructions or other communications shall be given in English and in writing (email, facsimile, telex, telegram cable or letter) or orally and shall be sent to **Padma Bank Securities Ltd.** and the account holder at the address, fax and/or telex number shown hereing or at such other address as may be communicated by the parties here to in writing.
- b) Proof of delivery or dispatch shall be:
 - i. In the case of letter: dispatch of the letter duly stamped and addressed
 - ii. In the case of email, facsimile, telex, telegram or cable on the day of dispatch with mechanical confirmation
- c) All oral notices, instructions or other communiations should be confirmed in writing within 24 hours.

Definitions: Word defined herein, save where a contrary meaning appears or such meaning is inconsistent with the context, shall have the same defined meaning wherever used.

Sums Designated: For all purpose, including any legal proceedings, a certificate by any of **Padma Bank Securities Ltd.** designated officers confirming the monies and/or liabilities for the time being due and/or incurred to by the account holder shall be conclusive evidence there of against him/her.

Disputes and Resolution of Dispute: In the case of any dispute arising between the **Padma Bank Securities Ltd.** and the account holder in connection with the interpretation or enforcement of the terms and conditions contained herein, attempts should be made to settle the matter amicable. In case of failure to settle the matter a amicably, the same shall be referred to arbitrators, so mentioned, shall be binging on both the parties. In case the Arbitrators differ in their finding, the decision of the Umpire shall be final and binding on the parties. The arbitration shall be conducted in accordance with the Arbitration Act. 2001.



Signature & Date of the
Account Holder (1st Applicant)



Signature & Date of the
Joint Account Holder (2nd Applicant)



Signature & Date of the
3rd Signatory (Artificial Body)



For & on behalf of
Padma Bank Securities Ltd.

Padma Bank Securities Ltd.

DSE TREC # 247, BSEC Reg. No. 3.1/DSE-247/2015/539

CDBL Bye Laws

BO ACCOUNT OPENING FORM

Form 02

(CDBL Bye Laws 7.3.3 (b))

Please Complete all details in "CAPITAL" Letters. Please fill all names correctly
All Communication shall be sent only to the First Account Holder's correspondences Address.

Application No :

Date :

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Please Tick ☒ whichever is applicable

BO Category: Regular ☐ Omnibus ☐ Clearing ☐ BO Type: Individual ☐ Joint Holder ☐ Company ☐

Name of CDBL Participant (Up to 99 Characters) : **Padma Bank Securities Ltd.**

CDBL Participant ID

BO ID

Account Opened Date

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I/We request you to open a Depository Account in my / our name as per the following details:

Name in full of Account Holder (Up to 99 Characters) :

Short Name of Account Holder (Insert full name starting with Title i.e. Mr/Mrs./Ms./Dr., abbreviate only if over 30 characters)

Title i.e. Mr/Mrs./Ms./Dr.

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(In case of a Company / Firm / Statutory Body) Name of Contact Person :

In case of individual : Male ☐ Female ☐ Occupation (30 Characters) :

Father's / Husband's Name :

Mother's Name :

2. CONTACT DETAILS :

Address :

City : Post Code : State/Division : Country : Tel :

Mobile : Fax : E-mail :

3. PASSPORT DETAILS :

Passport No. : Issue Place : Issue Date : Expiry Date :

4. BANK DETAILS :

Bank Name : Branch Name : District Name :

Routing No. : Account No. :

Bank Identifier (Code (BIC)) : SWIFT Code : International Bank A/C No. (IBAN) :

Electronic Dividend Credit : Yes ☐ No ☐ Tax Exemption if any : Yes ☐ No ☐ TIN / Tax ID :

5. OTHERS INFORMATION :

Residency : Resident ☐ Non Resident ☐ Nationality : Date of Birth

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|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Statement Cycle Code ; Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Other (Please Specify) :

Internal Reference No. (To be filled in by CDBL Participant) :

(In case of Company) Registration No. : Date of Registration

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6. JOINT APPLICANT (SECOND ACCOUNT HOLDER) :

Name in full of Account Holder (Up to 99 Characters) :

Short Name of Account Holder (Insert full name starting with Title i.e. Mr/Mrs./Ms./Dr., abbreviate only if over 30 characters)

Title i.e. Mr/Mrs./Ms./Dr.

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Padma Bank Securities Ltd.

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CDBL Bye Laws

Form 02

7. ACCOUNT LINK REQUEST :

Would you like to create a link to your existing Depository Account? Yes ☐ No ☐

If yes, then please provide the Depository BO Account Code (Last 8 Digits) :

8. NOMINEES / HEIRS :

If account holder(s) wish to nominate person(s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder/ all the joint account holder's, a separate nominations Form - 23 must be filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided

9. POWER OF ATTORNEY :

If account holder(s) wish to give a Power of Attorney (POA) to someone to operate the account, a separate Form - 20 must be filled up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

10. TO BE FILLED IN BY THE STOCK BROKER / STOCK EXCHANGE IN CASE THE APPLICATION IS FOR OPENING A CLEARING ACCOUNT :

Exchange Name: DSE ☐ Trading ID : CSE ☐ Trading ID :

11. PHOTOGRAPH :

Please paste recent
passport size
Photograph of 1st
Applicant or Authorized
Signatory in case of
Limited Co. only

1st Applicant or Authorized
Signatory in case of Ltd. Co.

Please paste recent
passport size
Photograph of 2nd
Applicant or Authorized
Signatory in case of
Limited Co. only

2nd Applicant or Authorized
Signatory in case of Ltd. Co.

Please paste recent
passport size
Photograph of
Authorized Signatory in
case of Limited Co. only

Authorized Signatory
in case of Ltd. Co. only

12. STANDING INSTRUCTIONS :

I/we authorize you to receive facsimile (fax) transfer instructions for delivery. Yes ☐ No ☐

13. DECLARATION :

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/ misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

| Applicants | Name of applicants / Authorized Signatories in case of Limited Co. | Signature with date |
|--|--|---------------------|
| First Applicant | | |
| Joint Applicant | | |
| Third Signatory (Ltd. Company only) | | |

14. SPECIAL INSTRUCTIONS OF OPERATION OF JOINT ACCOUNT :

☐ Either or Survivor ☐ Anyone Can Operate ☐ Any two will operate jointly
☐ Account will be operated by.....with anyone of the others.

15. INTRODUCTION :

Introduction by an existing account holder of **Padma Bank Securities Ltd.**

I confirm the identity, occupation and address of the applicant(s) :

Internal Code No. : (Introducer's Name)

Introducer's BO ID:

(Signature of Introducer)

Padma Bank Securities Ltd.

DSE TREC # 247, BSEC Reg. No. 3.1/DSE-247/2015/539

Central Depository Bangladesh Limited (CDBL) Depository Account (BO Account) opened with CDBL Participant

Terms & Conditions - Bye Laws 7.3.3(c)

CDBL participant, Dhaka/ Chittagong/ Sylhet, Bangladesh

Dear Sir,

Please open a Depository Account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of **Padma Bank Securities Ltd.** (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User Regulations) 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless I/we instruct the CDBL Participant to keep the Securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for :
 - a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
 - b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form subsequently for dematerialization;
 - c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
 - d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for affecting any transaction/transfer;
 - e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status authorizations, mandates, nomination, signature, etc;
 - f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at time of opening the account or furnishing to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form:
 - a) In the event of my/our death, the nominees shall receive/draw the securities held in my/our account.
 - b) In the event, the nominee so authorized remains a minor at the time of my/our death, the legal guardian is authorized to receive/draw the securities held in my/our account.
 - c) The nominee so authorized, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee pursuant to this authority shall be binding on all other persons.
7. I/we at any time call upon the CDBL Participant to close my/our account with the CDBL Participant providing no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account may be closed by me/us in one of the following ways:
 - a) By rematerialization of all existing balances in my/our account;
 - b) By transferring of all existing balances in my/our account to one or more of my/our account(s) held with any other CDBL Participant(s);

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- c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL participants(s);
8. CDBL Participant covenants that it shall;
 - a) Act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf;
 - b) Not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder;
 - c) Maintain adequate audit trail of the execution of the instructions of the Account Holder;
 - d) Not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - i. Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
 - ii. The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his / its constituted attorney available on the records of the CDBL Participant;
 - iii. The balance of clear securities available in the Account Holder's account is sufficient to honour the Account Holder's instructions.
 - e) Furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
 - f) Promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances / complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.
9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:
 - a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
 - b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
 - c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
 - d) Otherwise misconducts himself in any manner.
10. Declaration and Signature

i/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

| Applicants | Name of applicants / Authorized signatories in case of Limited Company | Signature with date |
|-----------------------------------|---|---------------------|
| First Applicant | | |
| Second Applicant | | |
| Third Signatory (Ltd Co. only) | | |

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Form 20

4. PHOTOGRAPH OF POWER OF ATTORNEY HOLDER :

Please paste recent
passport size
Photograph
duly attested by
Account Holders

(POA Holder)

5. DECLARATION :

The rules and regulations of the Depository and CDBL Participant Pertaining to an account which are in force now have been read by me I us and I / we have understood the same and I / we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I I We also declare that the particulars given by me I us are true to the best of my I our knowledge as on the date of making such application. I / we further agree that any false / misleading information given by me /us or suppression of any material fact will render my I our account liable for termination and further action.

| Applicants | Name of Applicants / Authorized signatories in case of Ltd. Co. | Signature with date |
|--|---|---------------------|
| POA Holder | | |
| First Applicant | | |
| Joint Applicant | | |
| Third Signatory (Ltd. Company only) | | |

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Form 23

BO ACCOUNT NOMINATION FORM

Please complete all details in **CAPITAL** letters. Please fill all names correctly. All communications shall be sent to the correspondence address of only the First Named Account Holder as specified in BO Account Opening Form - 02.

Application No.....

Date

Name of CDBL Participant (Up to 99 Characters) : **Padma Bank Securities Ltd.**

CDBL Participant ID

| | | | | | | | | | | | | | | | | |
|--------------------------|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|
| Account Holder's BO ID : | 1 | 2 | 0 | 5 | 8 | 7 | 0 | 0 | | | | | | | | |
|--------------------------|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|

| | | | | |
|---|---|---|---|---|
| 5 | 8 | 7 | 0 | 0 |
|---|---|---|---|---|

Name in full of Account Holder (Up to 99 Characters) :

Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr., abbreviate only if over 30 characters)

Title i.e. Mr./Mrs./Ms./Dr.

[illegible]

| | | | | |
|--|--|--|--|--|
| | | | | |
|--|--|--|--|--|

I/We nominate the following person(s) who is / are entitled to receive securities outstanding in my / our account in the event of the death of the sole holders/ all the joint holders.

1. NOMINEE / HEIRS DETAILS :

NOMINEE 1 :

Name in full :

Short Name of Nominee (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr., abbreviate only if over 30 characters)

Title i.e. Mr./Mrs./Ms./Dr.

[illegible]

| | | | | |
|--|--|--|--|--|
| | | | | |
|--|--|--|--|--|

Relationship with Account Holder : Percentage (%) :

Address :

City Post Code State/Division : Country :

Tel : Mobile : Fax : E-mail :

Passport No. : Issue Place : Issue Date : Expiry Date :

Residency : Resident ☐ Non Resident ☐ Nationality : Date of Birth

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

GUARDIAN'S DETAILS (IF NOMINEE IS A MINOR) :

Name in Full :

Short Name (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr., abbreviate only if over 30 characters)

Title i.e. Mr./Mrs./Ms./Dr.

[illegible]

| | | | | |
|--|--|--|--|--|
| | | | | |
|--|--|--|--|--|

Relationship with Nominee : Date of Birth of Minor

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

 Maturity date of Minor

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

| | | | | | | | | |
|------------------------|---|---|---|---|---|---|---|---|
| Maturity date of Minor | D | D | M | M | Y | Y | Y | Y |
|------------------------|---|---|---|---|---|---|---|---|

Address :

City Post Code..... State/Division : Country :

Tel : Mobile : Fax : E-mail :

Passport No. : Issue Place : Issue Date : Expiry Date :

Residency : Resident ☐ Non Resident ☐ Nationality : Date of Birth

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

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Form 23

NOMINEE 2 :

Name in Full :

Short Name of Nominee (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr., abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

| | |
|--|--|
| | |
|--|--|

Relationship with Account Holder : Percentage (%) :

Address :

City Post Code State/Division : Country :

Tel : Mobile : Fax : E-mail :

Passport No. : Issue Place : Issue Date : Expiry Date :

Residency : Resident ☐ Non Resident ☐ Nationality : Date of Birth

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

GUARDIAN'S DETAILS (IF NOMINEE IS A MINOR) :

Name in Full :

Short Name (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr., abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

| | |
|--|--|
| | |
|--|--|

Relationship with Nominee : Date of Birth of Minor

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

 Maturity date of Minor

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

Address :

City Post Code State/Division : Country :

Tel : Mobile : Fax : E-mail :

Passport No. : Issue Place : Issue Date : Expiry Date :

Residency : Resident ☐ Non Resident ☐ Nationality : Date of Birth

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

2. PHOTOGRAPH OF NOMINEES / HEIRS :

| | | | |
|--|--|--|--|
| <div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto; background-color: #f0f0f0; padding: 10px;"> Please paste recent passport size Photograph duly attested by Account Holders </div> <p>Nominee / Heir - 1</p> | <div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto; background-color: #f0f0f0; padding: 10px;"> Please paste recent passport size Photograph duly attested by Account Holders </div> <p>Nominee / Heir - 2</p> | <div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto; background-color: #f0f0f0; padding: 10px;"> Please paste recent passport size Photograph duly attested by Account Holders </div> <p>Guardian - 1</p> | <div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto; background-color: #f0f0f0; padding: 10px;"> Please paste recent passport size Photograph duly attested by Account Holders </div> <p>Guardian - 2</p> |
|--|--|--|--|

| Status | Name | Signature with date |
|--------------------|------|---------------------|
| Nominee / Heir - 1 | | |
| Guardian - 1 | | |
| Nominee / Heir - 2 | | |
| Guardian - 2 | | |
| First Applicant | | |
| Joint Applicant | | |

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KYC PROFILE FORM

(Applicable for BO Account)

(To be completed by the account Opening/Relationship Manager)

1. Customer / Account Name:

2. Type of Account:

3. BO ID :

| | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|
| 1 | 2 | 0 | 5 | 8 | 7 | 0 | 0 | | | | | | | | |
|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|--|

 Trading ID :

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|

4. Name of Account Opening Officer/Relationship Manager:

5. What is the source of fund? How the source of fund has been verified (where applicable)?

6. Information regarding Beneficial Owner of the account (Incase of company, information regarding controlling shareholder(s) and the shareholder(s) holding 20% or more shares
.....
.....

7. Passport No. Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

8. National ID No. Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

9. TIN.No. Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

10. VAT Reg. No. Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

11. Driving License No. Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

12. What does the customer do?

Mention the occupation of the client in detail:

Comments (if any):

(*Comments may be made in this part regarding risk of the customer in consideration of subjective judgment)

| | |
|---------------------|-----------|
| A/c Opening officer | Customer |
| Signature | Signature |